



For office use only:
Certification no.:
Tier 1:
Tier 2:

Application for Emerging Small Business Certification (Attachment to: DBE/MBE/WBE Applications)

Emerging small business means:

- A business with its principal place of business located in Oregon.
- A business, for which the average annual gross receipts for the previous three tax years do not exceed \$1,671,177 in the Tier 1 Program for Construction or \$688,471 for non-construction businesses.
- A business, for which the average annual gross receipts for the previous three tax years do not exceed \$3,342,354 in the Tier 2 Program for Construction or \$1,114,188 for non-construction businesses.
- A business that has 19 or fewer employees in Tier 1; 29 or fewer employees in Tier 2.
- An independent for-profit business.
- A business properly licensed and legally registered in Oregon.

A business shall **not** be considered an Emerging Small Business (ESB) if it is a subsidiary, parent company, or belongs to a group of companies owned and controlled by the same individuals that has aggregate annual gross receipts in excess of the limits stated above.

1. Name of business: _____

2. Has your business been ESB-certified previously? Yes No

3. Please refer to the North American Industry Classification System (NAICS) code list. In the first and second columns, list any NAICS code numbers and the NAICS descriptions that apply to your business.

Under "Business capability," clearly identify the products or services in which the qualifying individual has expertise and control. (See *example* in first row.) Enter your **primary** line of work on the **first** line after the example line.

NAICS code no.	NAICS description	Business capability
Example 238320	Painting and Wall Covering Contractors	Commercial painting, interior only; wallpaper hanging, texture application

4. Is your business a subsidiary or parent company belonging to a group of firms that are owned and controlled by the same individuals? Yes No

5. List the total number of work hours for **ALL** permanent, temporary and part-time employees for the last fiscal year. Do not include the hours worked by the owners of the business.

Total hours worked by **temporary employees**: _____

Total hours worked by **part-time employees**: _____

Total hours worked by **permanent employees**: _____

TOTAL: _____



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CERTIFICATION OF ACCURACY AND TRUTHFULNESS

Emerging Small Business (ESB) Application

The undersigned applicant and business owner hereby certifies that the information contained in the foregoing Application for Certification as an Emerging Small Business (ESB) and all supporting documents and materials are true and accurate, and that I and the business for which I am seeking ESB certification meet the relevant standards and requirements of ORS 200.005 et. seq. and the Oregon Administrative Rules, Chapter 445, Division 50. I have been provided copies of the relevant statutes and administrative rules as part of the ESB certification process.

My signature below also constitutes my acknowledgement that in the event any of the information contained in my ESB application is determined to be inaccurate or misleading by the Office of Minority, Women and Emerging Small Business (OMWESB), ESB certification may be denied, or in the case of a certification renewal, that the ESB certification may be revoked.

DATED this _____ day of _____, 20 _____.

Signature of applicant/owner

Title

Printed name of applicant/owner

Name of business

200.065 Fraudulent conduct prohibited; sanctions. (1) It shall be unlawful for any person fraudulently to obtain or retain or attempt to obtain or retain or to aid another person fraudulently to obtain or retain or attempt to obtain or retain certification as a disadvantaged, minority, women or emerging small business enterprise.

(2) It shall be unlawful knowingly to make a false claim that any person is qualified for certification or is certified under ORS 200.055 for the purpose of gaining a contract or subcontract or other benefit.